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To:

| From | the | INT | FR | NΔ | TION | ΙΔΙ | RUE | ₹FΔ! I |  |
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### **PCT**

NOTIFICATION OF ELECTION .

(PCT Rule 61.2)

Assistant Commissioner for Patents United States Patent and Trademark Office Box PCT

Washington, D.C.20231 ÉTATS-UNIS D'AMÉRIQUE

| Date of mailing:<br>10 February 2000 (10.02.00)       | in its capacity as elected Office                  |  |  |  |
|---|--|--|--|--|
| International application No.: PCT/JP99/04143         | Applicant's or agent's file reference: PCT-RO99-11 |  |  |  |
| International filing date:<br>30 July 1999 (30.07.99) | Priority date: 31 July 1998 (31.07.98)             |  |  |  |
| Applicant: FUJIMOTO, Hisayoshi et al                  |  |  |  |  |
|   |  |  |  |  |

| 1. | The designated Office is hereby notified of its election made:  |
|----|---|
|    | X in the demand filed with the International preliminary Examining Authority on:  |
|    | 02 December 1999 (02.12.99)   |
|    | in a notice effecting later election filed with the International Bureau on:  |
| 2. | The election X was  |
|    | was not   |
|    | made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b). |
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The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Authorized officer:

J. Zahra

Facsimile No.: (41-22) 740.14.35

Telephone No.: (41-22) 338.83.38

#### PATENT COOPERATION TREATY

RECEIVED

**PCT** 

#### **NOTIFICATION OF ELECTION**

(PCT Rule 61.2)

From the INTERNATIONAL BURNEY

Group 2600

Commissioner **US Department of Commerce United States Patent and Trademark** Office, PCT 2011 South Clark Place Room CP2/5C24 Arlington, VA 22202

**ETATS-UNIS D'AMERIQUE** Date of mailing (day/month/year) in its capacity as elected Office 26 February 2001 (26.02.01)

International application No. PCT/JP00/04143

International filing date (day/month/year) 23 June 2000 (23.06.00)

CN00048 Priority date (day/month/year)

Applicant's or agent's file reference

06 July 1999 (06.07.99)

**Applicant** 

TAZAKI, Toshinori

| 1. | The designated Office is hereby notified of its election made:  X in the demand filed with the International Preliminary Examining Authority on: |
|----|--|
|    | 26 January 2001 (26.01.01)   |
|    | in a notice effecting later election filed with the International Bureau on:   |
| 2. | The election X was   |
|    | was not  |
|    | made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).              |
|    |  |
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|    |  |

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

**Authorized officer** 

Henrik Nyberg

Facsimile No.: (41-22) 740.14.35 Telephone No.: (41-22) 338.83.38



#### From the INTERNATIONAL BUREAU

#### PCT

#### NOTIFICATION CONCERNING SUBMISSION OR TRANSMITTAL OF PRIORITY DOCUMENT

(PCT Administrative Instructions, Section 411)

To:

YOSHIDA, Minoru 2-32-1301, Tamatsukuri-motomachi Tennoji-ku Osaka-shi, Osaka 543-0014 JAPON

| Date of mailing (day/month/year) 06 October 1999 (06.10.99)        |  |
|--|--|
| Applicant's or agent's file reference PCT-RO99-11                  | IMPORTANT NOTIFICATION   |
| International application No. PCT/JP99/04143                       | International filing date (day/month/year) 30 July 1999 (30.07.99) |
| International publication date (day/month/year)  Not yet published | Priority date (day/month/year) 31 July 1998 (31.07.98)             |
| Applicant  |  |
| ROHM CO., LTD. et al   |  |

- 1. The applicant is hereby notified of the date of receipt (except where the letters "NR" appear in the right-hand column) by the International Bureau of the priority document(s) relating to the earlier application(s) indicated below. Unless otherwise indicated by an asterisk appearing next to a date of receipt, or by the letters "NR", in the right-hand column, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).
- 2. This updates and replaces any previously issued notification concerning submission or transmittal of priority documents.
- 3. An asterisk(\*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b). In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.
- 4. The letters "NR" appearing in the right-hand column denote a priority document which was not received by the International Bureau or which the applicant did not request the receiving Office to prepare and transmit to the International Bureau, as provided by Rule 17.1(a) or (b), respectively. In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

Priority date Priority application No. Country or regional Office or PCT receiving Office of priority document

31 July 1998 (31.07.98) 10/218092 JP 17 Sept 1999 (17.09.99)

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Juan Cruz

Telephone No. (41-22) 338.83.38

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#### From the INTERNATIONAL BUREAU

PCT

NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION TO THE DESIGNATED OFFICES

(PCT Rule 47.1(c), first sentence)

YOSHIDA, Minoru 2-32-1301, Tamatsukuri-motomachi Tennoji-ku Osaka-shi, Osaka 543-0014 JAPON

| Date of mailing (day/month/year)<br>10 February 2000 (10.02.00 | )                                     |                                     |  |
|--|---------------------------------------|-------------------------------------|--|
| Applicant's or agent's file reference PCT-RO99-11              | · · · · · · · · · · · · · · · · · · · | IF                                  | MPORTANT NOTICE  |
| International application No.<br>PCT/JP99/04143                | International filing of 30 July 1999  | date (day/month/year)<br>(30.07.99) | Priority date (day/month/year) 31 July 1998 (31.07.98) |
| Applicant ROHM CO., LTD. et a                                  | <u></u>                               |                                     | 31 July 1998 (31.07.98)                                |

 Notice is hereby given that the International Bureau has communicated, as provided in Article 20, the international application to the following designated Offices on the date indicated above as the date of mailing of this Notice: CN,EP,JP,KR,US

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present Notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:

CA

The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the applicant to furnish a copy of the international application (Rule 49.1(a-bis)).

 Enclosed with this Notice is a copy of the international application as published by the International Bureau on 10 February 2000 (10.02.00) under No. WO 00/07358

#### REMINDER REGARDING CHAPTER II (Article 31(2)(a) and Rule 54.2)

If the applicant wishes to postpone entry into the national phase until 30 months (or later in some Offices) from the priority date, a demand for international preliminary examination must be filed with the competent International Preliminary Examining Authority before the expiration of 19 months from the priority date.

It is the applicant's sole responsibility to monitor the 19-month time limit.

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

#### REMINDER REGARDING ENTRY INTO THE NATIONAL PHASE (Article 22 or 39(1))

If the applicant wishes to proceed with the international application in the national phase, he must, within 20 months or 30 months, or later in some Offices, perform the acts referred to therein before each designated or elected Office.

For further important information on the time limits and acts to be performed for entering the national phase, see the Annex to Form PCT/IB/301 (Notification of Receipt of Record Copy) and Volume II of the PCT Applicant's Guide.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

J. Zahra

Telephone No. (41-22) 338.83.38



PCT

## INFORMATION CONCERNING ELECTED OFFICES NOTIFIED OF THEIR ELECTION

(PCT Rule 61.3)

#### From the INTERNATIONAL BUREAU

To:

YOSHIDA, Minoru 2-32-1301, Tamatsukuri-motomachi Tennoji-ku Osaka-shi, Osaka 543-0014 JAPON

| Date of mailing (day/month/year)<br>10 February 2000 (10.02.00) |  |                                       |  |  |
|---|--|---------------------------------------|--|--|
| Applicant's or agent's file reference PCT-RO99-11               |  | IMPORTANT INFORMATION                 |  |  |
|   |  | date (day/month/year)<br>9 (30.07.99) | Priority date (day/month/year) 31 July 1998 (31.07.98) |  |
| Applicant ROHM CO., LTD., et al.                                |  |                                       | L  |  |

1. The applicant is hereby informed that the International Bureau has, according to Article 31(7), notified each of the following Offices of its election:

EP:AT,BE,CH,CY,DE,DK,ES,FI,FR,GB,GR,IE,IT,LU,MC,NL,PT,SE National:CA,CN,JP,KR,US

2. The following Offices have waived the requirement for the notification of their election; the notification will be sent to them by the International Bureau only upon their request:

None

3. The applicant is reminded that he must enter the "national phase" before the expiration of 30 months from the priority date before each of the Offices listed above. This must be done by paying the national fee(s) and furnishing, if prescribed, a translation of the international application (Article 39(1)(a)), as well as, where applicable, by furnishing a translation of any annexes of the international preliminary examination report (Article 36(3)(b) and Rule 74.1).

Some offices have fixed time limits expiring later than the above-mentioned time limit. For detailed information about the applicable time limits and the acts to be performed upon entry into the national phase before a particular Office, see Volume II of the PCT Applicant's Guide.

The entry into the European regional phase is postponed until 31 months from the priority date for all States designated for the purposes of obtaining a European patent.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer:

J. Zahra

Telephone No. (41-22) 338.83.38



#### PCT

# NOTIFICATION OF TRANSMITTAL OF COPIES OF TRANSLATION OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 72.2)

#### From the INTERNATIONAL BUREAU

To

YOSHIDA, Minoru 2-32-1301, Tamatsukuri-motomachi Tennoji-ku Osaka-shi, Osaka 543-0014 JAPON

| Date of mailing (day/month/year) 08 September 2000 (08.09.00) |  | · |
|---|--|---|
| Applicant's or agent's file reference PCT-RO99-11             | IMPORTANT NOTIFICATION   | : |
| International application No.<br>PCT/JP99/04143               | International filing date (day/month/year) 30 July 1999 (30.07.99) |   |
| Applicant ROHM CO., LTD. et al                                |  |   |

#### 1. Transmittal of the translation to the applicant.

The International Bureau transmits herewith a copy of the English translation made by the International Bureau of the international preliminary examination report established by the International Preliminary Examining Authority.

2. Transmittal of the copy of the translation to the elected Offices.

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following elected Offices requiring such translation:

EP,CA,CN,US

The following elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:

JP,KR

3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).

The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report.

It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned (Rule 74.1). See Volume II of the PCT Applicant's Guide for further details.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Eliott Peretti

Telephone No. (41-22) 338.83.38

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特許協力



REC'D 2 6 MAY 2000

WIPO PCT

#### PCT

#### 国際予備審査報告

(法第12条、法施行規則第56条) [PCT36条及びPCT規則70]

| 出願人又は代理人<br>の書類記号 PCT-R099-11                                    | 今後の手続きについては、国際予備審査報告の送付通知(様式PCT/<br>IPEA/416)を参照すること。     |  |  |  |  |  |  |
|--|---|--|--|--|--|--|--|
| 国際出願番号<br>PCT/JP99/04143   | 国際出願日<br>(日.月.年) 30.07.99 <b>優</b> 先日<br>(日.月.年) 31.07.98 |  |  |  |  |  |  |
| 国際特許分類 (IPC) Int.Cl' HO4N 1/024                                  |   |  |  |  |  |  |  |
| 出願人(氏名又は名称)  | ローム株式会社   |  |  |  |  |  |  |
| 2. この国際予備審査報告は、この表統  | ページである。<br>   |  |  |  |  |  |  |
| 国際出願に対する意見   国際予備審査の請求書を受理した日 02.12.99   名称及びあて先 日本国特許庁(IPEA/JP) | 国際予備審査報告を作成した日<br>08.05.00<br>特許庁審査官(権限のある職員) 5V 8113     |  |  |  |  |  |  |
| 郵便番号100-8915<br>東京都千代田区霞が関三丁目4番                                  | 大野雅宏 (35)<br>電話番号 03-3581-1101 内線 3571                    |  |  |  |  |  |  |



国際出願番号 PCT/JP99/04143

| I. | Ē                | 国際予備審査報  | 告の基礎                                   |  |  |  |                                    |
|----|------------------|--|--|--|--|--|------------------------------------|
| 1. | Ę                |  | 上提出され                                  | た差し替え用                                   |  | れた。(法第6条(PC)<br>おいて「出願時」とし、2                 | T14条)の規定に基づく命令に<br>本報告啓には添付しない。    |
|    | x                | 出願時の国際   | <b>発出願書類</b>                           | Ī  |  |  |                                    |
|    |                  | 明細書<br>明細書<br>明細書  | 第<br>第<br>第                            |  | ページ、<br>ページ、<br>ページ、<br>ページ、                       | 出願時に提出されたもの<br>国際予備審査の請求書                    |                                    |
|    |                  | 請求の  競求の  競用  請求の  競用  請求の  競用  請求の  競用  請求の  競用   | 第<br>第<br>第                            |  | 項、<br>項、<br>                                       | 出願時に提出されたもの<br>PCT19条の規定に<br>国際予備審査の請求書      | 基づき補正されたもの                         |
|    |                  | 図面<br>図面   | 第<br><br>第<br>                         |  |  | 出願時に提出されたもの<br>国際予備審査の請求書                    | <del>-</del>                       |
|    |                  | 明細書の配列<br>明細書の配列<br>明細書の配列   | リ表の部分                                  | 第  | ページ、<br>ページ、<br>ページ、                               | 出願時に提出されたもの<br>国際予備審査の請求書                    |                                    |
| 2. |                  | 上記の書類は、<br>国際調査  | 下記の言                                   | 語である                                     | 語であ<br>CT規則23.1(b)にい                               |  | •                                  |
| 3. | [                |  |  |  |  | とは55.3にいう翻訳文の言<br>おり、次の配列表に基づ                | i語<br>き国際予備審査報告を行った。               |
|    | ]<br>]<br>]<br>] | この国際にの国際に出出の関係をはいる。出出の関係をはいる。というでは、これの国際をはいる。これの国際にはいる。これの。これの国際にはいる。これの国際にはいる。これの国際にはいる。これの国際にはいる。これの国際にはいる。これの国際にはいる。これのの。これののこれの。これののこれの。これのこれの。これの国際にはいる。これのこれの。これののこれの。これののこれの。これのこれの。これのこれの。これの。 | 出願と共に<br>、この国<br>、この国<br>提出したも<br>があった | 祭予備審査(記<br>祭予備審査(記<br>客面による配列<br>こ記哉した配列 | フレキシブルディスク<br>または調査)機関に<br>または調査)機関に<br>列表が出願時における | 是出された書面による配列<br>是出されたフレキシブルテ<br>る国際出願の開示の筑囲を |                                    |
| 4. |                  | 前正により、7<br>明細書<br>請求の範囲<br>図面  | 第<br>第<br>図面の第                         |  | ページ<br>項<br>ペー                                     | ジ/図  |                                    |
| 5. |                  | れるので、そ   | の補正が                                   | されなかった                                   |  | 。(PCT規則70.2(c)                               | 随囲を越えてされたものと認めら<br>この補正を含む差し替え用紙は上 |



国際出願番号 PCT/JP99/04143

| v. | 新規性、<br>文献及0 | 進歩性又は産業上の利用可能性につび説明 | oいての法第12条                                | : (PCT35条(2)) | に定める見解、 | それを裏付ける |
|----|--------------|---------------------|--|---------------|---------|---------|
| 1. | 見解           |                     |  |               |         |         |
| Ŕ  | 新規性(1        | N)                  | 請求の筑囲 <sub>-</sub><br>請求の筑囲 <sub>-</sub> | 1-14          |         | 有<br>無  |

請求の笕囲

請求の筮囲

請求の範囲 1-14

産業上の利用可能性 (IA) 請求の範囲 1-14

有無

#### 2. 文献及び説明 (PCT規則70.7)

進歩性(IS)

請求の範囲1-14について

文献1: JP, 6-86004, A (ブラザー工業株式会社)

1. 3月. 1994 (25. 03. 94), 図1-図5参照, (ファミリーなし)

の図1、図4、図5に開示されている読み書き一体ヘッドはいずれも請求の範囲1に記載されているような「受光素子を囲むようにして基板に組み付けられたケース」を備えていないし、そのようなケースを設けるよう設計変更することは、同図に示された構造からみて記録紙の移動を困難にすることになるので、当業者にとって自明なこととは言えない。

文献 2: JP, 6-70090, A (三菱電機株式会社) 2. 3月, 1994 (11, 03, 94), 図1-図5, 図8-図11.

2. 3月. 1994 (11. 03. 94), 図1-図5, 図8-図11, 図15参照 (ファミリーなし)

の図8-9に開示されている読み書き一体ヘッドは、印字用素子が発熱基板というセンサー基板と は別の基板に設けられており、しかも、印字用素子は基板の端の側面に突設されているので、請求 の範囲1に記載されているように「基板の同一表面に受光素子を備えた読み取り回路と印字用素子 を配置する」ものとは異なる。

文献2の図8-9のものでは基板を一枚化することは当業者にとって自明であるとは言えても、同 図のものでは原稿の移動方向と記録紙の移動方向とのなす角度がほぼ直角になるような構造を前提 としているので、請求の範囲1に記載されているような基板の同一表面に読み取り回路と印字用素 子を配置する構成を示唆するものとは言えない。

文献3: JP, 10-51587, A (ローム株式会社)

20.2月.1998 (20.02.98), 図2参照, (ファミリーなし)

文献4: JP, 9-284470, A (ローム株式会社)

3. 10月. 1997 (31. 10. 97), 図2参照, (ファミリーなし)

の各文献に開示されているものはいずれも同一基板上に、受光素子、読み取り回路、印字用素子、書き込み駆動回路を設けたものであるが、受光素子と印字用素子は互いに基板の反対側に配置されているから、請求の範囲1に記載されたように「基板の同一表面上」に配置されたものとは異なるし、その配置の結果として、記録紙を移動させる構造部分の構成も請求の範囲1に記載された発明によって実現される構成とは異なると考えられるので、文献3-4によっても請求の範囲1に記載された発明が示唆されているとは言えない。

請求の範囲2-14に記載された各発明についても、その主要部は請求の範囲1に記載された発明 と同じであるから、上記と同様のことが言える。 E P





国際調査報告

(法8条、法施行規則第40、41条) [PCT18条、PCT規則43、44]

| 山限人又は代理人<br>  の書類記号 PCT-R099-11                    | 及び下記5を参照すること。  |                 |                |                                  |  |  |  |
|--|----------------|-----------------|----------------|----------------------------------|--|--|--|
| 国際出願番号<br>PCT/JP99/04143                           | 国際出願日(日.月.年)   | 30.07.99        | 優先日<br>(日.月.年) | 31.07.98                         |  |  |  |
| 出願人 (氏名又は名称)                                       | ローム株式会社        |                 |                |                                  |  |  |  |
| 国際調査機関が作成したこの国際調 この写しは国際事務局にも送付され                  |                | 則第41条(PCT 1 8   | <br>3条) の規定に従い | 出願人に送付する。                        |  |  |  |
| この国際調査報告は、全部で 3                                    | ページである。        |                 |                | ,                                |  |  |  |
| この調査報告に引用された先行                                     | 技術文献の写しも複      | <b>忝付されている。</b> |                |                                  |  |  |  |
| 1. 国際調査報告の基礎<br>a. 言語は、下記に示す場合を除<br>こ この国際調査機関に提出さ |                |                 |                | った。                              |  |  |  |
| b. この国際出願は、ヌクレオチ                                   | 節による配列表        |                 |                | 際調査を行った。                         |  |  |  |
| □ この国際出願と共に提出さ                                     |                |                 | 表              |                                  |  |  |  |
| 出願後に、この国際調査機 出願後に、この国際調査機 出願後に、この国際調査機             |                | •               | ととる西辺に幸        |                                  |  |  |  |
| 1 =  | る配列表が出願時       | における国際出願の       | 開示の範囲を超える      | る事項を含まない旨の陳述<br>記列が同一である旨の陳述     |  |  |  |
| 書の提出があった。  | ,,cac, ic , ic |                 |                |                                  |  |  |  |
| 2. 請求の範囲の一部の調査                                     | ができない(第1枚      | 闌参照)。           |                | <u>,</u>                         |  |  |  |
| 3. 発明の単一性が欠如して                                     | ハる(第Ⅱ欄参照)      | •               |                |                                  |  |  |  |
| 4. 発明の名称は 🗓 出                                      | 頼人が提出したもの      | のを承認する。         |                |                                  |  |  |  |
|  | に示すように国際語      | 周査機関が作成した。      |                |                                  |  |  |  |
| _  |                |                 |                |                                  |  |  |  |
| 5. 要約は 🗓 🗓   | 願人が提出したもの      | のを承認する。         |                |                                  |  |  |  |
| <u> </u>   | 際調査機関が作成し      |                 | )国際調査報告の発      | 則38.2(b)) の規定により<br>送の日から1カ月以内にこ |  |  |  |
| 6. 要約書とともに公表される図は<br>第 <u>2</u> 図とする。 x 出          | •              | りである。           | ロな             | L                                |  |  |  |
|  | 頼人は図を示さなが      | かった。            |                |                                  |  |  |  |
| 本  | 図は発明の特徴を-      | 届よく表している。       |                |                                  |  |  |  |

|                  | 属する分野の分類(国際特許分類(IPC))<br>HO4N 1/024                 |   |                            |
|------------------|---|---|----------------------------|
| B. 調査を行          | テった分野   |   |                            |
|                  | 」のに刃野<br>最小限資料(国際特許分類(IPC))                         |   |                            |
|                  | H04N 1/024  |   |                            |
|                  |   |   |                            |
|                  | · · · · · · · · · · · · · · · · · · ·               |   |                            |
| 最小限資料以外          | トの資料で調査を行った分野に含まれるもの                                |   |                            |
|                  | E用新案公報 1926-1996                                    |   |                            |
|                  | 公開実用新案公報 1971-1999                                  | . •   |                            |
|                  | 実用新案登録公報 1996-1999                                  |   |                            |
| 日本国登             | 登録実用新案公報 1994-1999                                  |   | <u>-</u> .                 |
| 国際調査で使用          | 用した電子データベース(データベースの名称、                              | 調査に使用した用語)  | <b>∵</b> .                 |
|                  |   |   |                            |
|                  |   |   |                            |
|                  | •   |   |                            |
| C. 関連する          |   |   |                            |
| 引用文献の            | S C BOO S A C S X IIV                               | ·   | 関連する                       |
| カテゴリー*           | 引用文献名 及び一部の箇所が関連すると                                 | さは、その関連する箇所の表示  | 請求の範囲の番号                   |
| Y                | <br>  JP,6-86004,A(ブラザー工業株                          | 式会社)  | 1, 5-8, 11-14              |
| Ā                | 25. 3月. 1994 (25. 03. 94)                           |   | 2-4, 9-10                  |
|                  | ーなし)  | ·   |                            |
| 37               |   | ·<br>• <del>• • • •</del> • • • • • • • • • • • • • • | 1, 5-8, 11-14              |
| Y<br>A           | │ JP,6−70090,A(三菱電機株式会<br>│11.3月.1994(11.03.94)     |   | 2-4, 9-10                  |
| 11               | 11. 32. 1334 (11. 03. 34)<br>  図, 第15図参照, (ファミリーなし) | , жій жой, жой жіі                                    | :                          |
|                  |   |   | Y                          |
| Y                | JP, 10-51587, A (ローム株式会                             | (社)   | 1, 5–8, 11–14              |
| A                | 20. 2月. 1998 (20. 02. 98)                           | , 第2図参照, (ファミリーなし)                                    | 2-4, 9-10                  |
|                  |   |   |                            |
|                  |   |   |                            |
| 区 で C 欄の続き       | きにも文献が列挙されている。                                      | □ パテントファミリーに関する別                                      | 紙を参照。                      |
|                  |   |   |                            |
| * 引用文献の          |   | の日の後に公表された文献  | r<br>La Santa della marila |
|                  | 車のある文献ではなく、一般的技術水準を示す                               | 「T」国際出願日又は優先日後に公表さ                                    |                            |
| もの 「F」国際出席       | <b>頭日前の出願または特許であるが、国際出願日</b>                        | て出願と矛盾するものではなく、<br>論の理解のために引用するもの                     | 完明の原理又は理.                  |
| 1                | 公表されたもの   | 「X」特に関連のある文献であって、                                     | 当該文献のみで発明                  |
|                  | 主張に疑義を提起する文献又は他の文献の発行                               | の新規性又は進歩性がないと考え                                       |                            |
| 1                | くは他の特別な理由を確立するために引用する                               | 「Y」特に関連のある文献であって、                                     |                            |
|                  | 里由を付す)  | 上の文献との、当業者にとって  |                            |
|                  | よる開示、使用、展示等に言及する文献<br>領日前で、かつ優先権の主張の基礎となる出願         | よって進歩性がないと考えられる<br>「&」同一パテントファミリー文献                   | 2 6 0                      |
|                  | ストロック、 A. 7度701年27工法27年度であり1994                     | - C. I 7 - 7 - 7 - 7 - 7 - 7 - 7 - 7 - 7 -            |                            |
| 国際調査を完           |   | 国際調査報告の発送日  |                            |
|                  | 25.10.99  | 0.911   | <b>9</b> 9                 |
| 字 [時] 3日 未 W. 8日 | カタサルバセナル  |   | . 9                        |
|                  | D名称及びあて先<br>国特許庁(ISA/JP)                            | 特許庁審査官(権限のある職員) 大野 雅宏 大野 雅宏                           | 5V 8113                    |
|                  | 郵便番号100-8915  |   | /                          |
| 1                | 都千代田区霞が関三丁目4番3号                                     | 電話番号 03-3581-1101                                     | 内線 3571                    |

| C(続き).          | 関連すると認められる文献   |                   |
|-----------------|--|-------------------|
| 引用文献の<br>カテゴリー* | 引用文献名 及び一部の箇所が関連するときは、その関連する箇所の表示                                      | 関連する<br>請求の範囲の番号  |
| Y<br>A          | JP, 9-284470, A (ローム株式会社)<br>31.10月.1997 (31.10.97),第2図参照, (ファミリーなし)   | 12-13<br>1-11, 14 |
| A               | JP, 6-113069, A (三田工業株式会社)<br>22.4月.1994 (22.04.94), 第1図参照, (ファミリーなし)  | 1-14              |
| A               | JP, 4-282952, A (株式会社日立製作所)<br>8.10月.1992 (08.10.92), 第6図参照, (ファミリーなし) | 1-14              |
|                 |  |                   |
|                 |  |                   |
|                 |  |                   |
|                 |  |                   |
| ,               |  |                   |
|                 |  |                   |
|                 |  |                   |
|                 |  |                   |
|                 |  |                   |

Tramslation



#### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

|   | <del></del>  |                                     |   |  |
|---|--|-------------------------------------|---|--|
| Applicant's or agent's file reference PCT-RO99-11                               | FOR FURTHER ACTION   | SeeNotification of Examination Repo | TransmittalofInternational Preliminary ort (Form PCT/IPEA/416)            |  |
| International application No.   | International filing date (day/n   | nonth/year) Price                   | ority date (day/month/year)   |  |
| PCT/JP99/04143  | 30 July 1999 (30.0°  | 7.99)                               | 31 July 1998 (31.07.98)   |  |
| International Patent Classification (IPC) or n<br>H04N 1/024                    | national classification and IPC  |                                     |   |  |
| Applicant   | ROHM CO., LT   | D.                                  |   |  |
|   |  |                                     |   |  |
| This international preliminary exam     and is transmitted to the applicant act |  | by this Internation                 | al Preliminary Examining Authority  |  |
| 2. This REPORT consists of a total of   | 3 sheets, including  | g this cover sheet.                 |   |  |
| been amended and are the base   | nied by ANNEXES, i.e., sheets sis for this report and/or sheets cof the Administrative Instruction | ontaining rectifica                 | , claims and/or drawings which have tions made before this Authority (see |  |
| These annexes consist of a to   | tal of sheets.   |                                     |   |  |
| 3. This report contains indications rela  | ting to the following items:   |                                     |   |  |
| I Basis of the report   |  |                                     |   |  |
| II Priority   |  |                                     |   |  |
| III Non-establishment o   | of opinion with regard to novelty  | , inventive step and                | l industrial applicability  |  |
| IV Lack of unity of inve  | ention   |                                     |   |  |
| v Reasoned statement citations and explana                                      | under Article 35(2) with regard ations supporting such statement                                   | to novelty, inventiv                | e step or industrial applicability;                                       |  |
| VI Certain documents of   | ited   |                                     |   |  |
| VII Certain defects in the  | e international application  |                                     |   |  |
| VIII Certain observations   | on the international application   |                                     | ~   |  |
|   |  |                                     |   |  |
| Date of submission of the demand  | Date of  | completion of this                  | report  |  |
| 02 December 1999 (02.   | 12.99)   | 08 May                              | 2000 (08.05.2000)   |  |
| Name and mailing address of the IPEA/JP   | Name and mailing address of the IPEA/JP  Authorized officer  |                                     |   |  |
| Facsimile No.   | Telepho  | ne No.                              |   |  |

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/JP99/04143

| I. Basis              | of the report   |
|-----------------------|---|
| 1. With               | egard to the elements of the international application:   |
|                       | the international application as originally filed   |
|                       | the description:  |
| _                     | pages, as originally filed  |
|                       | pages, as originally filed , filed with the demand  |
|                       | pages, filed with the letter of   |
|                       | the claims:   |
|                       | 2000  |
|                       | , as originally fried   |
| 1                     | , and the control of |
|                       | pages, filed with the demand pages, filed with the letter of  |
|                       | , med with the letter of  |
|                       | the drawings:   |
|                       | pages, as originally filed  |
|                       | pages, filed with the demand  |
| _                     | pages, filed with the letter of   |
| <u> </u>   t          | e sequence listing part of the description:   |
|                       | pages, as originally filed  |
|                       | pages, filed with the demand  |
|                       | pages, filed with the letter of   |
| the in                | egard to the language, all the elements marked above were available or furnished to this Authority in the language in which ernational application was filed, unless otherwise indicated under this item.  elements were available or furnished to this Authority in the following language  the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).   |
|                       | the language of publication of the international application (under Rule 48.3(b)).  |
|                       | the language of the translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).  |
| 3. With prelin        | regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international inary examination was carried out on the basis of the sequence listing:   |
| Ц                     | contained in the international application in written form.   |
|                       | filed together with the international application in computer readable form.  |
|                       | furnished subsequently to this Authority in written form.   |
| Щ                     | furnished subsequently to this Authority in computer readable form.   |
|                       | The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.  |
|                       | The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.  |
| 4.                    | The amendments have resulted in the cancellation of:  |
| _                     | the description, pages  |
|                       | the claims, Nos.  |
|                       | the drawings, sheets/fig  |
| 5.                    | This report has been established as if (some of) the amendments had not been made, since they have been considered to go eyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**  |
| * Replain this and 70 | ement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to report as "originally filed" and are not annexed to this report since they do not contain amendments (Rule 70.16   |
|                       | placement sheet containing such amendments must be referred to under item 1 and annexed to this report.   |
|                       |   |
|                       |   |

### INTERNATIONAL PREZIMINARY EXAMINATION REPORT

ternational application No.

PCT/JP99/04143

| V. Reasoned statement under Artic<br>citations and explanations support |        | elty, inventive step or industrial applicab | ility; |
|---|--------|---|--------|
| 1. Statement  |        |   |        |
| Novelty (N)   | Claims | 1-14  | YES    |
|   | Claims |   | NO     |
| Inventive step (IS)   | Claims | 1-14  | YES    |
|   | Claims |   | NO     |
| Industrial applicability (IA)   | Claims | 1-14  | YES    |
| •   | Claims |   | NO     |
|   |        |   |        |

#### 2. Citations and explanations

#### Concerning claims 1-14

None of the integrated read/write heads disclosed in Figs. 1, 4 and 5 of document 1 [JP, 6-86004, A (Brother Industries, Ltd.), 25 March, 1994 (25.03.94); see Figs. 1-5; (Family: none)] are equipped with a 'case attached to the substrate in such a way as to surround the photodetector elements' like that disclosed in claim 1 of the present application. Moreover, it is considered that modifying the design of the integrated read/write heads disclosed in Figs. 1, 4 and 5 of document 1 in order to include such a case would not be obvious to a person skilled in the art, since judging by the configurations shown in these figures movement of the recording paper would become difficult.

With the integrated read/write head disclosed in Figs. 8 and 9 of document 2 [JP, 6-70090, A (Mitsubishi Electric Corporation), 11 March, 1994 (11.03.94); see Figs. 1-5, 8-11, 15; (Family: none)], the printing elements are provided on the heat generating substrate, which is different to the sensor substrate, and moreover the printing elements are provided in such a way that they protrude from the side of the tip of the substrate. The integrated read/write head disclosed in Figs. 8 and 9 of document 2 is thus different to that disclosed in claim 1 of the present application for which 'the read circuit – which is equipped with photodetector elements – and the printing elements are positioned on the same surface of the substrate'.

With the integrated read/write head disclosed in Figs. 8 and 9 of document 2, it is considered that changing things to use only a single substrate would be obvious to a person skilled in the art. However, since a presupposition with the integrated read/write head disclosed in Figs. 8 and 9 of document 2 is that it has a structure whereby the angle between the direction of motion of the original and the direction of motion of the recording paper is more-orless a right angle, it is considered that document 2 does not suggest a configuration like that disclosed in claim 1 of the present application whereby the read circuit and the printing elements are positioned on the same surface of the substrate.

With each of the inventions disclosed in document 3 [JP, 10-51587, A (Rohm Co., Ltd.), 20 February, 1998 (20.02.98); see Fig. 2; (Family: none)] and document 4 [JP, 9-284470, A (Rohm Co., Ltd.), 31 October, 1997 (31.10.97); see Fig. 2; (Family: none)], the photodetector elements, the read circuit, the printing elements and the write drive circuit are provided on the same substrate. However, the photodetector elements and the printing elements are positioned on opposite sides of the substrate to one another, which is different to in claim 1 of the present application where the photodetector elements and the printing elements are positioned 'on the same surface of the substrate'. Moreover, as a result of the positioning of the photodetector elements and the printing elements, it is considered that with the inventions disclosed in documents 3 and 4 the constituent features of the structural part that moves the recording paper must be different to the constituent features realized through the invention disclosed in claim 1 of the present application, and thus that the invention disclosed in claim 1 of the present application is not suggested by documents 3 and 4.

The above also applies to the inventions disclosed in claims 2-14 of the present application, since their principal part is the same as that of the invention disclosed in claim 1.

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## $\mathbb{PCT}$

#### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

| Applicant's or agent's file reference                        |   | Carllatificat       | i  |
|--|---|---------------------|--|
| PCT-RO99-11  | FOR FURTHER ACTION  |                     | ionofTransmittalofInternational Preliminary<br>Report (Form PCT/IPEA/416)                  |
| International application No.                                | International filing date (day/n                                | nonth/year)         | Priority date (day/month/year)   |
| PCT/JP99/04143   | 30 July 1999 (30.0°   | 7.99)               | 31 July 1998 (31.07.98)  |
| International Patent Classification (IPC) or n<br>H04N 1/024 | ational classification and IPC                                  |                     |  |
| Applicant  | ROHM CO., LT  | D.                  |  |
| and is transmitted to the applicant ac                       | ecording to Article 36.   |                     | ational Preliminary Examining Authority  |
| 2. This REPORT consists of a total of                        | sheets, including   | ng this cover s     | heet.  |
| been amended and are the ba                                  |   | ontaining rec       | iption, claims and/or drawings which have tifications made before this Authority (see CT). |
| These annexes consist of a to                                | tal of sheets.  |                     | W. 47-10   |
| 3. This report contains indications rela                     | ting to the following items:                                    |                     |  |
| I Basis of the report  |   |                     |  |
| II Priority  |   |                     |  |
| III Non-establishment o                                      | of opinion with regard to novelty                               | , inventive ste     | ep and industrial applicability  |
| IV Lack of unity of inve                                     | ention  |                     |  |
| v Reasoned statement citations and explan                    | under Article 35(2) with regard ations supporting such statemen | to novelty, in<br>t | ventive step or industrial applicability;  |
| VI Certain documents of                                      | cited   |                     |  |
| VII Certain defects in th                                    | e international application                                     |                     |  |
| VIII Certain observations                                    | s on the international application                              | 1                   |  |
|  |   |                     |  |
| Date of submission of the demand                             | Date of   | completion o        | f this report  |
| 02 December 1999 (02.  | 12.99)  | 08                  | May 2000 (08.05.2000)  |
| Name and mailing address of the IPEA/JP  Authorized officer  |   |                     |  |
| Facsimile No.  | Telepho   | one No.             |  |



ernational application No.

#### PCT/JP99/04143

| I. Basis         | s of the report  |  |
|------------------|--|--|
| 1. With          | h regard to the elements of the international application:*  |  |
| $\boxtimes$      | the international application as originally filed  |  |
|                  | the description:   |  |
|                  | pages  | , as originally filed  |
|                  | pages  | , filed with the demand  |
|                  | pages  | , filed with the letter of   |
|                  | the claims:  |  |
|                  | pages  | , as originally filed  |
|                  |  | , as amended (together with any statement under Article 19   |
|                  | pages  | ~· · · · · · ·   |
|                  |  | , filed with the letter of   |
|                  | the drawings:  |  |
|                  |  | as originally filed  |
|                  |  | , as originally filed  |
| •                |  | , filed with the demand  |
|                  |  | , fried with the letter of   |
| L_] t            | the sequence listing part of the description:  |  |
|                  |  | , as originally filed  |
| .•               |  | , filed with the demand  |
|                  | pages  | , filed with the letter of   |
|                  | the language of a translation furnished to this Authority in the language of a translation furnished for the purposes of the language of publication of the international application the language of the translation furnished for the purposes or 55.3). | international search (under Rule 23.1(b)).   |
| 3. With prelii   | •  | ce disclosed in the international application, the international ence listing:   |
|                  | contained in the international application in written form.  |  |
|                  | filed together with the international application in compute   | er readable form.  |
|                  | furnished subsequently to this Authority in written form.  |  |
|                  | furnished subsequently to this Authority in computer reada   | uble form.   |
|                  | The statement that the subsequently furnished written international application as filed has been furnished.   | sequence listing does not go beyond the disclosure in the  |
|                  | The statement that the information recorded in compute been furnished.   | er readable form is identical to the written sequence listing has  |
| 4                | The amendments have resulted in the cancellation of:   |  |
| <del>-</del> - ∟ |  |  |
|                  | the description, pages   |  |
|                  | the claims, Nos.   |  |
|                  | the drawings, sheets/fig   |  |
| 5.               | This report has been established as if (some of) the amend beyond the disclosure as filed, as indicated in the Supplement  | ments had not been made, since they have been considered to go ental Box (Rule 70.2(c)).**                                       |
| in thi           |  | Office in response to an invitation under Article 14 are referred to his report since they do not contain amendments (Rule 70.16 |
| ** Any r         | replacement sheet containing such amendments must be refer   | red to under item 1 and annexed to this report.  |
|                  |  |  |



#### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

PCT/JP99/04143

| tement                        |        |      |       |
|-------------------------------|--------|------|-------|
| Novelty (N)                   | Claims | 1-14 | YES   |
|                               | Claims |      | NO NO |
| Inventive step (IS)           | Claims | 1-14 | YE    |
|                               | Claims |      | NO    |
| Industrial applicability (IA) | Claims | 1-14 | YES   |
|                               | Claims |      | NO    |

#### 2. Citations and explanations

#### Concerning claims 1-14

None of the integrated read/write heads disclosed in Figs. 1, 4 and 5 of document 1 [JP, 6-86004, A (Brother Industries, Ltd.), 25 March, 1994 (25.03.94); see Figs. 1-5; (Family: none)] are equipped with a 'case attached to the substrate in such a way as to surround the photodetector elements' like that disclosed in claim 1 of the present application. Moreover, it is considered that modifying the design of the integrated read/write heads disclosed in Figs. 1, 4 and 5 of document 1 in order to include such a case would not be obvious to a person skilled in the art, since judging by the configurations shown in these figures movement of the recording paper would become difficult.

With the integrated read/write head disclosed in Figs. 8 and 9 of document 2 [JP, 6-70090, A (Mitsubishi Electric Corporation), 11 March, 1994 (11.03.94); see Figs. 1-5, 8-11, 15; (Family: none)], the printing elements are provided on the heat generating substrate, which is different to the sensor substrate, and moreover the printing elements are provided in such a way that they protrude from the side of the tip of the substrate. The integrated read/write head disclosed in Figs. 8 and 9 of document 2 is thus different to that disclosed in claim 1 of the present application for which 'the read circuit – which is equipped with photodetector elements – and the printing elements are positioned on the same surface of the substrate'.

With the integrated read/write head disclosed in Figs. 8 and 9 of document 2, it is considered that changing things to use only a single substrate would be obvious to a person skilled in the art. However, since a presupposition with the integrated read/write head disclosed in Figs. 8 and 9 of document 2 is that it has a structure whereby the angle between the direction of motion of the original and the direction of motion of the recording paper is more-orless a right angle, it is considered that document 2 does not suggest a configuration like that disclosed in claim 1 of the present application whereby the read circuit and the printing elements are positioned on the same surface of the substrate.

With each of the inventions disclosed in document 3 [JP, 10-51587, A (Rohm Co., Ltd.), 20 February, 1998 (20.02.98); see Fig. 2; (Family: none)] and document 4 [JP, 9-284470, A (Rohm Co., Ltd.), 31 October, 1997 (31.10.97); see Fig. 2; (Family: none)], the photodetector elements, the read circuit, the printing elements and the write drive circuit are provided on the same substrate. However, the photodetector elements and the printing elements are positioned on opposite sides of the substrate to one another, which is different to in claim 1 of the present application where the photodetector elements and the printing elements are positioned 'on the same surface of the substrate'. Moreover, as a result of the positioning of the photodetector elements and the printing elements, it is considered that with the inventions disclosed in documents 3 and 4 the constituent features of the structural part that moves the recording paper must be different to the constituent features realized through the invention disclosed in claim 1 of the present application, and thus that the invention disclosed in claim 1 of the present application is not suggested by documents 3 and 4.

The above also applies to the inventions disclosed in claims 2-14 of the present application, since their principal part is the same as that of the invention disclosed in claim 1.

ろく 国際出願願書 原本(出願用) - 印刷日時 1999年07月29日 (29.07.1999) 木曜日 14時07分07秒

PCT-R099-:

| ō            | 受理官庁記入欄   |   |
|--------------|---|---|
| 0-1          | 国際出願番号  | ·   |
| 0-2          | 国際出願日   |   |
| 0-3          | (受付印)   |   |
|              |   |   |
| 0-4          | <br> この特許協力条約に基づく<br> 国際出願願書(様式 -<br> PCT/R0/101)は、 |   |
| 0-4-1        | 右記によって作成された。  | PCT-EASY Version 2.84<br>(updated 01.07.1999)   |
| 0-5          | 申立て<br>出願人は、この国際出願が特許<br>協力条約に従って処理されるこ<br>とを請求する。  |   |
| 0-6          | 出願人によって指定された<br>受理官庁                                | 日本国特許庁(RO/JP)   |
| 0-7          | 出願人又は代理人の書類記<br>号                                   | PCT-R099-11   |
| I            | 発明の名称   | 画像読み書き一体ヘッドおよびこれを備えた画像<br>処理装置  |
| II           | 出願人   | <u> </u>  |
| II-1<br>II-2 | この欄に記載した者は<br>右の指定国についての出願人で<br>ある。                 | The Cart of the Cart and Cart |
| II-4ja       | 名称  | States except US)<br>ローム株式会社  |
| II-4en       | Name  | ローム休式会社<br> Rohm Co., Ltd.  |
| II-5ja       | あて名:  | 615-8585 日本国  |
| II-5en       | Address:  | 京都府 京都市<br>右京区西院溝崎町21番地<br>21, Saiin Mizosaki-cho, Ukyo-ku,<br>Kyoto-shi, Kyoto 615-8585<br>Japan   |
| I I -6       | 国籍 (国名)   | 日本国 JP  |
| II-7         | 住所 (国名)   | 日本国 JP  |

→ INTEGRATED IMAGE READING/WRITING HEAD AND IMAGE PROCESSING APPARATUS INCORPORATING THE SAME



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| III-I            |                    | 144401750149   |
|------------------|--------------------|--|
| III-1-1          | その他の出願人又は発明者       | THE RELEASE OF THE PARTY OF THE |
|                  | この欄に記載した者は         | 出願人及び発明者である (applicant and inventor)   |
| 111-1-2          | 右の指定国についての出願人である。  |  |
| III-1-4 ja       | 氏名(姓名)             | 藤本 久義  |
|                  | Name (LAST, First) | FUJIMOTO, Hisayoshi  |
| III-1-5 ja       | あて名:               | 615-8585 日本国   |
|                  | Address:           | 京都府 京都市<br>右京区西院溝崎町21番地<br>ローム株式会社内<br>c/o Rohm Co., Ltd.<br>21, Saiin Mizosaki-cho, Ukyo-ku,<br>Kyoto-shi, Kyoto 615-8585   |
| III-1-6          | ).<br>  京海 / (京夕 ) | Japan  |
|                  | 国籍(国名)             | 日本国 JP   |
|                  | 住所(国名)             | 日本国 JP   |
| III-2<br>III-2-1 | その他の出願人又は発明者       |  |
| 111 2 1          | この欄に記載した者は         | 出願人及び発明者である(applicant and  |
| 111.0.0          |                    | inventor)  |
| 111-2-2          | 右の指定国についての出願人で     | 米国のみ (US only)   |
| III-2-4ja        | ある。<br> 氏名(姓名)     | 大西 弘朗  |
|                  | Name (LAST, First) | ONISHI, Hiroaki  |
| III-2-5ja        | あて名:               | 615-8585 日本国   |
| III-2-5en        | Address:           | 京都府 京都市<br>右京区西院溝崎町21番地<br>ローム株式会社内<br>c/o Rohm Co., Ltd.<br>21, Saiin Mizosaki-cho, Ukyo-ku,<br>Kyoto-shi, Kyoto 615-8585<br>Japan  |
| III-2-6          | 国籍(国名)             | 日本国 JP   |
| III-2-7          | 住所 (国名)            | 日本国 JP   |
| 111-3            | その他の出願人又は発明者       |  |
| III-3-1          | この欄に記載した者は         | 出願人及び発明者である(applicant and  |
| 111-3-2          | 右の指定国についての出願人である。  | inventor)<br>米国のみ(US only)   |
| III-3-4ja        | 氏名(姓名)             | 高倉 敏彦  |
|                  | Name (LAST, First) | TAKAKURA, Toshihiko  |
| III-3-5ja        | あて名:               | 615-8585 日本国   |
|                  | Address:           | 京都府 京都市<br>右京区西院溝崎町21番地<br>ローム株式会社内<br>c/o Rohm Co., Ltd.<br>21, Saiin Mizosaki-cho, Ukyo-ku,<br>Kyoto-shi, Kyoto 615-8585<br>Japan  |
| 111-3-6          | 国籍 (国名)            | D本国 JP   |
|                  | 住所(国名)             | 日本国 JP   |
|                  | 1±//, \P-P/        |  |



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| III-4     | 7 0 14 0 11 155 1 77 11 156 157 15 |   |
|-----------|------------------------------------|---|
|           | その他の出願人又は発明者                       |   |
| III-4-1   | この欄に記載した者は                         | 出願人及び発明者である (applicant and inventor)          |
| III-4-2   | 右の指定国についての出願人である。                  | 米国のみ (US only)                                |
| III-4-4ja | 氏名(姓名)                             | 今村 典広   |
|           | Name (LAST, First)                 | IMAMURA, Norihiro                             |
|           | あて名:                               | 615-8585 日本国                                  |
|           |                                    | 京都府 京都市                                       |
|           |                                    | 右京区西院溝崎町21番地                                  |
|           |                                    | ローム株式会社内                                      |
| III-4-5en | Address:                           |   |
|           | Address.                           | c/o Rohm Co., Ltd.                            |
|           |                                    | 21, Saiin Mizosaki-cho, Ukyo-ku,              |
|           |                                    | Kyoto-shi, Kyoto 615-8585                     |
| III-4-6   | 国籍 (国名)                            | Japan   |
| III-4-7   |                                    | 日本国 JP  |
| IV-1      | 住所(国名)                             | 日本国 JP  |
| 14-1      | 代理人又は共通の代表者、<br>通知のあて名             |   |
|           | 下記の者は国際機関において右                     | (中国   (                                       |
|           | 記のごとく出願人のために行動                     | 1C座人 (agent)                                  |
|           | する。                                |   |
| IV-1-1 ja | 氏名(姓名)                             | 吉田 稔  |
| IV-I-len  | Name (LAST, First)                 | YOSHIDA, Minoru                               |
| IV-1-2ja  | あて名:                               | 543-0014 日本国                                  |
|           |                                    | 大阪府 大阪市                                       |
|           |                                    | 天王寺区玉造元町2-32-1301                             |
| IV-1-2en  | Address:                           | 2-32-1301, Tamatsukuri-motomachi,             |
|           |                                    | Tennoji-ku,                                   |
|           |                                    | Osaka-shi, Osaka 543-0014                     |
|           |                                    | Japan   |
| IV-1-3    | 電話番号                               | 06-6764-6664                                  |
| IV-1-4    | ファクシミリ番号                           | 06-6764-7446                                  |
| IV-2      | その他の代理人                            | 筆頭代理人と同じあて名を有する代理人                            |
|           |                                    | (additional agent(s) with same address as     |
|           |                                    | first named agent)                            |
| IV-2-1 ja |                                    | 田中 達也;福元 義和                                   |
| IV-2-1en  |                                    | TANAKA, Tatsuya; FUKUMOTO, Yoshikazu          |
| V         | 国の指定                               |   |
| V-1       |                                    | EP: AT BE CH&LI CY DE DK ES FI FR GB GR IE IT |
|           | (他の種類の保護又は取扱いを  <br>求める場合には括弧内に記載す | LU MC NL PT SE                                |
|           | 水のの場合には括弧内に記載すしる。)                 | 及びヨーロッパ特許条約と特許協力条約の締約国                        |
|           |                                    | である他の国  |
| V-2       | 国内特許                               | CA CN JP KR US                                |
|           | (他の種類の保護又は取扱いを 求める場合には括弧内に記載す      |   |
| į         | スのる場合には治別的に記載すしる。)                 |   |
|           |                                    |   |

PCT-R099-1.

- 1/10 | **<国際出願願書** | 原本 (出願用) - 印刷日時 1999年07月29日 (29.07.1999) 木曜日 14時07分07秒

| V-5   | The car is the all in the  | T   |  |
|---|--|---|--|
|   | 指定の確認の宣言   | •   |  |
|   | 出願人は、上記の指定に加えて   |   |  |
|   | 、規則4.9(b)の規定に基づき、<br>  特許協力条約のまとで認めより  |   |  |
|   | 特許協力条約のもとで認められる他の全ての国の指定を行う。   |   |  |
|   | ただし、V-6欄に示した国の指  |   |  |
|   | 定を除く。出願人は、これらの   |   |  |
|   | 追加される指定が確認を条件と   |   |  |
|   | していること、並びに優先日から15月が経過する前にその確認  |   |  |
|   | 1510月が経過する肌にての催認  「がかされない場合は、この期間  |   |  |
|   | がなされない指定は、この期間<br>の経過時に、出願人によって取   |   |  |
|   | り下げられたものとみなされる   |   |  |
|   | ことを宣言する。   |   |  |
| V-6   | 指定の確認から除かれる国   | なし (NONE)   |  |
| N I - I   | 先の国内出願に基づく優先   | → Tuly 21 1000 I  | Datont Appliantion   |
| VI-1-1  | 権主張<br> 先の出願日 (  |   | Patent Application   |
| VI-1-2  |  | 1998年07月31日(31.07.  | 1998)No.10-218092  |
| VI-1-3  | 先の出願番号<br>  国名   | 平成10年特許顯第21   | 8092号~   |
| VI-1-3  | <u> </u>   | 日本国 JP Japan  |  |
| 11 2  | 優先権証明書送付の請求<br> 上記の先の出願のうち、右記の   | VI 4  |  |
|   | 番号のものについては、出願書   | VI-1  |  |
|   | 類の認証機本を作成し国際事務   |   |  |
|   | 局へ送付することを、受理官庁   |   |  |
| VII-1   | に対して請求している。  |   |  |
| VII-1   | 特定された国際調査機関(IS<br>A)   | 日本国特許庁 (ISA/JP)   |  |
|   |  | T .   |  |
| VIII  | 照合欄  | 用紙の枚数   | 添付された電子データ   |
| VIII-I  | 照合欄願書  | 用紙の枚数<br>  5  | 添付された電子データ<br>-  |
|   |  | 5   |  |
| VIII-1  | 願書   |   | _  |
| VIII-1<br>VIII-2  | 願書<br>明細書  | 5<br>22   |  |
| VIII-1<br>VIII-2<br>VIII-3  | 願書<br>明細書<br>請求の範囲   | 5<br>22<br>4  | _  |
| VIII-1<br>VIII-2<br>VIII-3<br>VIII-4  | 願書<br>明細書<br>請求の範囲<br>要約   | 5<br>22<br>4<br>1   |  |
| VIII-1<br>VIII-2<br>VIII-3<br>VIII-4<br>VIII-5                                      | 願書<br>明細書<br>請求の範囲<br>要約<br>図面   | 5<br>22<br>4<br>1   |  |
| VIII-1<br>VIII-2<br>VIII-3<br>VIII-4<br>VIII-5                                      | 願書<br>明細書<br>請求の範囲<br>要約<br>図面<br>合計   | 5<br>22<br>4<br>1<br>17<br>49   | -<br>-<br>-<br>ro99-11ab. txt  |
| VIII-1<br>VIII-2<br>VIII-3<br>VIII-4<br>VIII-5<br>VIII-7                            | 願書<br>明細書<br>請求の範囲<br>要約<br>図面<br>合計<br>添付書類<br>手数料計算用紙  | 5<br>22<br>4<br>1<br>17<br>49<br>森付   | -<br> -<br> -<br>  ro99-11ab. txt<br> -<br>  添付された電子データ                            |
| VIII-1<br>VIII-2<br>VIII-3<br>VIII-4<br>VIII-5<br>VIII-7                            | 願書<br>明細書<br>請求の範囲<br>要約<br>図面<br>合計<br>添付書類<br>手数料計算用紙<br>別個の記名押印された委任状                                       | 5<br>22<br>4<br>1<br>17<br>49   | -<br> -<br> -<br>  ro99-11ab. txt<br> -<br>  添付された電子データ<br> -                      |
| VIII-1<br>VIII-2<br>VIII-3<br>VIII-4<br>VIII-5<br>VIII-7<br>VIII-8<br>VIII-9        | 願書<br>明細書<br>請求の範囲<br>要約<br>図面<br>合計<br>添付書類<br>手数料計算用紙<br>別個の記名押印された委任状<br>PCT-EASYディスク                       | 5<br>22<br>4<br>1<br>1<br>17<br>49<br>添付<br>✓   | -<br> -<br> -<br>  ro99-11ab. txt<br> -<br>  添付された電子データ                            |
| VIII-1 VIII-2 VIII-3 VIII-4 VIII-5 VIII-7 VIII-8 VIII-9 VIII-16                     | 願書<br>明細書<br>請求の範囲<br>要約<br>図面<br>合計<br>添付書類<br>手数料計算用紙<br>別個の記名押印された委任状<br>PCT-EASYディスク<br>その他                | 5<br>22<br>4<br>1<br>17<br>49<br>※付<br>✓<br>✓<br>-<br>優先権書類送付請求書  | -<br>-<br>-<br>ro99-11ab. txt<br>-<br>-<br>添付された電子データ<br>-<br>-<br>-<br>フレキシブルディスク |
| VIII-1 VIII-2 VIII-3 VIII-4 VIII-5 VIII-7  VIII-7  VIII-8 VIII-9 VIII-16            | 願書<br>明細書<br>請求の範囲<br>要約<br>図面<br>合計<br>添付書類<br>手数料計算用紙<br>別個の記名押印された委任状<br>PCT-EASYディスク                       | 5<br>22<br>4<br>1<br>17<br>49<br>※付<br>✓<br>✓<br>-<br>優先権書類送付請求書<br>納付する手数料に相当す   | -<br>-<br>-<br>ro99-11ab. txt<br>-<br>-<br>添付された電子データ<br>-<br>-<br>-<br>フレキシブルディスク |
| VIII-1 VIII-2 VIII-3 VIII-4 VIII-5 VIII-7  VIII-7  VIII-8 VIII-9 VIII-16            | 願書<br>明細書<br>請求の範囲<br>要約<br>図面<br>合計<br>添付書類<br>手数料計算用紙<br>別個の記名押印された委任状<br>PCT-EASYディスク<br>その他                | 5<br>22<br>4<br>1<br>17<br>49<br>※付<br>✓<br>✓<br>-<br>優先権書類送付請求書<br>納付する手数料に相当する特許印紙を貼付した書  | -<br>-<br>-<br>ro99-11ab. txt<br>-<br>-<br>添付された電子データ<br>-<br>-<br>-<br>フレキシブルディスク |
| VIII-1 VIII-2 VIII-3 VIII-4 VIII-5 VIII-7  VIII-7  VIII-8 VIII-9 VIII-16            | 願書<br>明細書<br>請求の範囲<br>要約<br>図面<br>合計<br>添付書類<br>手数料計算用紙<br>別個の記名押印された委任状<br>PCT-EASYディスク<br>その他<br>その他         | 5<br>22<br>4<br>1<br>17<br>49<br>※付<br>✓<br>✓<br>-<br>優先権書類送付請求書<br>納付する手数料に相当する特許印紙を貼付した書<br>面   | -<br> -<br>  ro99-11ab. txt<br> -<br>  添付された電子データ<br> -<br>  フレキシブルディスク<br> -      |
| VIII-1 VIII-2 VIII-3 VIII-4 VIII-5 VIII-7  VIII-7  VIII-8 VIII-9 VIII-16 VIII-17    | 願書<br>明細書<br>請求の範囲<br>要約<br>図面<br>合計<br>添付書類<br>手数料計算用紙<br>別個の記名押印された委任状<br>PCT-EASYディスク<br>その他                | 5<br>22<br>4<br>1<br>17<br>49<br>※付<br>✓<br>✓<br>-<br>優先権書類送付請求書<br>納付する手数料に相当する特許印紙を貼付した書<br>面<br>国際事務局の口座への振                              | -<br> -<br>  ro99-11ab. txt<br> -<br>  添付された電子データ<br> -<br>  フレキシブルディスク<br> -      |
| VIII-1 VIII-2 VIII-3 VIII-4 VIII-5 VIII-7  VIII-7  VIII-8 VIII-9 VIII-16 VIII-17    | 願書 明細書 請求の範囲 要約 図面 合計 添付書類 手数料計算用紙 別個の記名押印された委任状 PCT-EASYディスク その他 その他  | 5<br>22<br>4<br>1<br>17<br>49<br>✓<br>✓<br>-<br>優先権書類送付請求書<br>納付する手数料に相当する特許印紙を貼付した書<br>国際事務局の口座への振込を証明する書面                                 | -<br> -<br>  ro99-11ab. txt<br> -<br>  添付された電子データ<br> -<br>  フレキシブルディスク<br> -      |
| VIII-1 VIII-2 VIII-3 VIII-4 VIII-5 VIII-7  VIII-8 VIII-9 VIII-16 VIII-17  VIII-17   | 願書 明細書 請求の範囲 要約 図面 合計 添付書類 手数料計算用紙 別個の記名押印された委任状 PCT-EASYディスク その他 その他 その他 をの他 をの他 をの他 をの他                      | 5<br>22<br>4<br>1<br>17<br>49<br>※付<br>✓<br>✓<br>-<br>優先権書類送付請求書<br>納付する手数料に相当する特許印紙を貼付した書<br>面<br>国際事務局の口座への振                              | -<br> -<br>  ro99-11ab. txt<br> -<br>  添付された電子データ<br> -<br>  フレキシブルディスク<br> -      |
| VIII-1 VIII-2 VIII-3 VIII-4 VIII-5 VIII-7  VIII-8 VIII-16 VIII-17  VIII-17  VIII-17 | 願書 明細書 請求の範囲 要約 図面 合計 添付書類 手数料計算用紙 別個の記名押印された委任状 PCT-EASYディスク その他 その他 その他                                      | 5<br>22<br>4<br>1<br>17<br>49<br>※付<br>✓<br>✓<br>-<br>優先権書類送付請求書<br>納付する手数料に相当する特許印紙を貼付した書<br>国際事務局の口座への振<br>込を証明する書面<br>2                  | -<br> -<br>  ro99-11ab. txt<br> -<br>  添付された電子データ<br> -<br>  フレキシブルディスク<br> -      |
| VIII-1 VIII-2 VIII-3 VIII-4 VIII-5 VIII-7  VIII-8 VIII-16 VIII-17  VIII-17          | 願書 明細書 請求の範囲 要約 図面 合計 添付書類 手数料計算用紙 別個の記名押印された委任状 PCT-EASYディスク その他 その他 その他 をの他 をの他 をの他 をの他                      | 5<br>22<br>4<br>1<br>17<br>49<br>※付<br>✓<br>✓<br>-<br>優先権書類送付請求書<br>総付する手数料に相当する特許印紙を貼付した書<br>国際事務局の口座への振<br>込を証明する書面<br>2                  | -<br> -<br>  ro99-11ab. txt<br> -<br>  添付された電子データ<br> -<br>  フレキシブルディスク<br> -      |
| VIII-1 VIII-2 VIII-3 VIII-4 VIII-5 VIII-7  VIII-8 VIII-16 VIII-17  VIII-17  VIII-17 | 願書 明細書 請求の範囲 要約 図面 合計 添付書類 手数料計算用紙 別個の記名押印された委任状 PCT-EASYディスク その他 その他 その他 をの他 をの他 要約書とともに提示する図 の番号 国際出願の使用言語名: | 5<br>22<br>4<br>1<br>17<br>49<br>※付<br>✓<br>✓<br>-<br>優先権書類送付請求書<br>納付する手数料に相当する特許印紙を貼付した書<br>国際事務局の口座への振<br>込を証明する書面<br>2<br>日本語(Japanese) | -<br> -<br>  ro99-11ab. txt<br> -<br>  添付された電子データ<br> -<br>  フレキシブルディスク<br> -      |
| VIII-1 VIII-2 VIII-3 VIII-4 VIII-5 VIII-7  VIII-8 VIII-16 VIII-17  VIII-17  VIII-17 | 願書 明細書 請求の範囲 要約 図面 合計 添付書類 手数料計算用紙 別個の記名押印された委任状 PCT-EASYディスク その他 その他 その他 をの他 をの他 要約書とともに提示する図 の番号 国際出願の使用言語名: | 5<br>22<br>4<br>1<br>17<br>49<br>※付<br>✓<br>✓<br>-<br>優先権書類送付請求書<br>納付する手数料に相当する特許印紙を貼付した書<br>国際事務局の口座への振<br>込を証明する書面<br>2<br>日本語(Japanese) | -<br> -<br>  ro99-11ab. txt<br> -<br>  添付された電子データ<br> -<br>  フレキシブルディスク<br> -      |

| 特計協力条約に<br>原本 (出顧用) - 印刷日時 1999年07月29日 (29.07.1999) 木曜日 14時07分07秒 |          |          |  |  |  |  |
|---|----------|----------|--|--|--|--|
| IX-2  | 提出者の記名押印 | 金配       |  |  |  |  |
| IX-2-1  | 氏名(姓名)   | 田中 達也 写言 |  |  |  |  |
| IX-3  | 提出者の記名押印 |          |  |  |  |  |
| 1X-3-1  | 氏名(姓名)   | —— 福元 義和 |  |  |  |  |

#### 受理官庁記入欄

| 10-1   | 国際山區しして担山とんと               |        |
|--------|----------------------------|--------|
|        | 国際四種としく佐田された               |        |
|        | 国際出願として提出された<br>書類の実際の受理の日 |        |
| 10-2   | 図面:                        |        |
| 10-2-1 | 受理された                      |        |
| 10-2-2 | 不足図面がある                    |        |
| 10-3   | 国際出願として提出された               |        |
|        | 書類を補完する書類又は図               |        |
|        | 面であってその後期間内に               |        |
|        | 提出されたものの実際の受               |        |
|        | 理の日 (訂正日)                  | · ·    |
| 10-4   | 特許協力条約第11条(2)に基            |        |
|        | づく必要な補完の期間内の               |        |
|        | 受理の日                       |        |
| 10-5   | 出願人により特定された国               | ISA/JP |
|        | 際調査機関                      |        |
| 10-6   | 調査手数料未払いにつき、               |        |
|        | 国際調査機関に調査用写し               |        |
| _      | を送付していない                   |        |
|        |                            |        |

#### 国際事務局記入欄

| 11-1 | 記録原本の受理の日 |  |
|------|-----------|--|
|      |           |  |